

PRIVACY POLICY

Gabe Nelson Financial, Inc.

Last Updated: February 2026

Gabe Nelson Financial, Inc. ("**we**," "**our**," or "**Advisor**") is committed to protecting the privacy and confidentiality of our clients' personal and financial information. This Privacy Policy describes how we collect, use, disclose, and safeguard your information when you engage with our services or visit our website at www.gabenelsonfinancial.com ("**Website**").

By working with us or using our Website, you acknowledge and consent to the practices described in this Privacy Policy.

1. Information We Collect

We collect personal and financial information necessary to provide you with comprehensive financial advisory services. This information may include:

Personal Identification Information

- Full name and mailing address
- Phone number and email address
- Social Security Number or Tax Identification Number
- Employment information and professional background

Financial Information

- Investment objectives, experience, and risk tolerance
- Current financial circumstances, income, and net worth
- Account balances and transaction history
- Existing assets, liabilities, and insurance coverage

Technical Information

- IP address, browser type, and operating system
- Referring URLs and pages viewed on our Website
- Device and usage data collected automatically during Website visits

Sources of Information

We collect your information from the following sources:

- Directly from you through applications, forms, and communications
- Transactions you conduct with us or on your behalf

- Affiliated and non-affiliated third parties, including custodians, broker/dealers, and other financial service providers

2. How We Use Your Information

We use the information we collect for the following purposes:

- To deliver personalized asset management and financial advisory services tailored to your needs
- To communicate with you regarding your accounts, services, and updates
- To process transactions and maintain accurate account records
- To comply with applicable federal and state laws, regulations, and legal obligations, including responses to regulatory authorities, subpoenas, or court orders
- To improve our Website, services, and overall client experience
- To protect against fraud, unauthorized transactions, and other liabilities

We do not sell your personal information to any third party, under any circumstances.

3. Information Sharing and Disclosure

We do not sell, rent, or trade your personal information. We may share your information only in the following limited circumstances:

Service Providers

We may share your information with trusted third-party service providers who assist us in operating our business and delivering services to you — such as custodians, broker/dealers, technology vendors, and compliance consultants. These parties are contractually obligated to protect your information and may use it only as directed by us.

At Your Direction

With your explicit consent, we may share your information with your accountant, attorney, or other professional advisors to support your broader financial planning needs.

Legal and Regulatory Requirements

We may disclose your information as required by law, regulation, court order, or regulatory authority, or when necessary to protect the rights, property, or safety of our firm, clients, or the public.

SMS Consent and Communications

SMS opt-in consent and phone numbers collected for text message communications are not shared with any third parties for marketing purposes. No mobile opt-in data will be sold, transferred, or disclosed to outside parties.

4. Data Security

We employ industry-standard security measures designed to protect the confidentiality and integrity of your personal and financial information. Our safeguards include:

- Password-protected access controls for all digital systems and client files
- Confidentiality agreements with all third-party service providers
- Ongoing employee training on data privacy and security best practices
- Strict internal confidentiality policies applicable to all staff

While we take reasonable precautions to protect your information, no method of electronic storage or internet transmission is entirely secure. We cannot guarantee absolute security, but we are committed to promptly addressing any breach that may occur.

5. SMS Communications

By providing your consent to receive SMS communications from Gabe Nelson Financial, Inc., you agree to receive text messages at the phone number you provide. The following terms apply:

- Message and data rates may apply
- Message frequency may vary
- You may opt out at any time by replying STOP to any text message
- For assistance, reply HELP or contact us directly

By opting into SMS communications, you acknowledge that your consent to receive SMS is not shared with any third party for marketing purposes.

6. Your Rights and Choices

Access and Updates

You have the right to access, update, or correct your personal information at any time. To do so, please contact us using the information provided below.

Opt-Out of Communications

You may opt out of receiving marketing communications at any time by following the unsubscribe instructions in those messages or by contacting us directly. Please note that even after opting out of marketing communications, we may still send you non-promotional messages related to your accounts or our ongoing advisory relationship.

7. Third-Party Websites

Our Website may contain links to external websites. We are not responsible for the privacy practices or content of those third-party sites and encourage you to review their privacy policies independently before providing any personal information.

8. Children's Privacy

Our Website and services are not directed to individuals under the age of 13. We do not knowingly collect personal information from children under 13. If we become aware that we have inadvertently collected such information, we will take prompt steps to delete it.

9. Changes to This Privacy Policy

We reserve the right to update this Privacy Policy at any time to reflect changes in our practices, legal requirements, or business operations. Any material changes will be posted on this page with an updated "Last Updated" date. We encourage you to review this policy periodically. Continued engagement with our services following any updates constitutes your acceptance of the revised policy.

10. Contact Us

If you have any questions, concerns, or requests regarding this Privacy Policy or our data practices, please contact us:

Gabe Nelson Financial, Inc.

Website: www.gabenelsonfinancial.com

Privacy Policy: <https://www.gabenelsonfinancial.com/wp-content/files/gnf-privacy-policy.pdf>

Terms of Use: <https://www.gabenelsonfinancial.com/wp-content/files/gnf-terms-of-use.pdf>

The examples and descriptions in this Privacy Policy are provided for illustration purposes only and are not intended to be exhaustive. This policy applies to all clients and prospective clients of Gabe Nelson Financial, Inc.